

Practitioner's Docket No. 2002JP318

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tadashi SUZUKI et al.

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Examiner:

JUN 29 2005

Application No.:

Group No.:

Filed: 04/29/2005

For: POLYSILANE-CONTAINING COATING SOLUTION

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

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JUN-29-2005-WED 08:01 AM

CLARIANT CORP LEGAL

FAX No. 704 331 7707

P.002

Applicant: Tadashi SUZUKI et al.

Serial No.

Filed 04/29/2005

Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
- 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ PCT or EP Search Report .PCT/EP 03/11614. Mailed 01/30/2004
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

  
Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205  
Telephone: 704/331-7155, Facsimile: 704/331-7707

PAGE 2/10 \* RCVD AT 6/29/2005 7:44:19 AM [Eastern Daylight Time] \* SVR:USPTO-EFAX-1/1 \* DNS:3729306 \* CSID:704 331 7707 \* DURATION (mm:ss):03:02

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TANT CORP LEGAL

FAX No. 704 331

P.003

In re U.S. Patent Application of:

Applicant: Tadashi SUZUKI et al.:

Attorney Docket: 2002JP318

Serial No.:

Group:

Filed: 04/29/2005

Examiner:

For: POLYSILANE-CONTAINING COATING SOLUTION

The impressed Mail Room date stamp acknowledges receipt on the date indicated of:

1. Information Disclosure Statement with Certificate of Mailing ( 2 pages)
2. PTO/SB/08a and SB/08b ( 2 pages)
3. References ( 1 )
4. PCT/EP 03/11614, Mailed 01/30/2004

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P. 004

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	
Filing Date	04/29/2005
First Named Inventor	Tadashi SUZUKI
Art Unit	
Examiner Name	
Attorney Docket Number	2002JP318

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>1</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 6,426,127	06/30/2002	Ross et al.	
	AB	US- 6,083,860	07/04/2000	Matsuo	
	AC	US- 4,722,988	02/02/1988	Porle	
	AD	US- 6,383,641	05/07/2002	Kondou	
	AE	US- 5,885,654	03/23/1999	Haglwara	
	AF	US- 20,020,015,851	02/07/2002	Higuchi	
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
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FOREIGN PATENT DOCUMENTS						
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		Country Code* Number* † Kind Code* (if known)				
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Examiner Signature	/Xiao Zhao/	Date Considered	05/20/2008
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Sheet 2

of 2

Application Number

Filing Date

Complete if Known

04/29/2005

First Named Inventor

Tadashi SUZUKI

Art Unit

Examiner Name

Attorney Docket Number

2002JP318

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	English Language Abstract of JP 09316340, published 12/9/1997	
	CB		
	CC		
	CD		
	CE		
	CF		
	CG		
	CH		
	CI		
	CJ		

Examiner Signature

/Xiao Zhao/

Date

Considered

05/20/2008

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